

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF DELAWARE**

NOVO NORDISK A/S,

Plaintiff and Counterdefendant,

v.

AVENTIS PHARMACEUTICALS INC.,  
SANOFI-AVENTIS, and AVENTIS  
PHARMA DEUTSCHLAND GMBH,

Defendants and Counterplaintiffs.

Case. No. 1:05-00645 SLR

**NOTICE OF SUBPOENA**

TO: Steven J. Balick, Esquire  
John G. Day, Esquire  
Lauren E. Maguire, Esquire  
Ashby & Geddes  
222 Delaware Avenue, 17<sup>th</sup> Floor  
P.O. Box 1150  
Wilmington, DE 19899

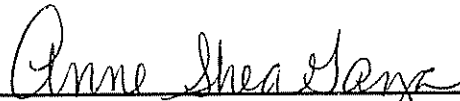
Paul Berghoff, Esquire  
McDonnell Boehnen Hulbert  
& Berghoff LLP  
300 South Wacker Drive  
Chicago, Illinois 60606-6709

PLEASE TAKE NOTICE that pursuant to Rules 34 and 45 of the Federal Rules of Civil Procedure, Plaintiff Novo Nordisk A/S (hereinafter "Plaintiff") will issue the attached Subpoena to Robert W. Glatz of Myers Bigel Sibley & Sajovec PA.

Of Counsel:

Jeffrey J. Oelke  
Scott T. Weingaertner  
Stephen J. Vitola  
WHITE & CASE LLP  
1155 Avenue of the Americas  
New York, NY 10036-2787  
Telephone: (212) 819-8200

Dated: November 2, 2006

  
Frederick L. Cottrell III (ID NO. 2555)

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November 1, 2006

VIA FEDERAL EXPRESS

Robert W. Glatz  
Myers Bigel Sibley & Sajovec PA.  
4140 Parklake Avenue, Suite 600  
Raleigh, NC 27612


Re: Novo Nordisk A/S v. Aventis Pharmaceuticals et al.  
Civil Action No. 05-00645 SLR (D. Del.)

Dear Mr. Glatz:

Pursuant to our conversation, and your agreement to accept service, enclosed is a subpoena calling for your testimony in the above referenced matter.

Please do not hesitate to contact us if you have any questions or concerns.

Regards,

  
Stephen J. Vitola

Enclosure

Cc: James McCarthy, Esq. (via electronic mail) (w/enclosure)  
Steven J. Balick, Esq. (via electronic mail) (w/enclosure)  
Fred Cottrell, Esq. (w/enclosure)  
Anne Shea Gaza, Esq. (w/enclosure)

ALMATY ANKARA BANGKOK BEIJING BERLIN BRATISLAVA BRUSSELS BUDAPEST DRESDEN DÜSSELDORF FRANKFURT HAMBURG  
HELSINKI HONG KONG ISTANBUL JOHANNESBURG LONDON LOS ANGELES MEXICO CITY MIAMI MILAN MOSCOW MUMBAI MUNICH  
NEW YORK PALO ALTO PARIS PRAGUE RIYADH SÃO PAULO SHANGHAI SINGAPORE STOCKHOLM TOKYO WARSAW WASHINGTON, DC

SA088 (Rev. 1/94) Subpoena in a Civil Case

Issued by the  
UNITED STATES DISTRICT COURT

EASTERN

DISTRICT OF

NORTH CAROLINA

NOVO NORDISK

SUBPOENA IN A CIVIL CASE

V.

AVENTIS PHARMACEUTICALS, INC. et al.

Case Number:<sup>1</sup> 1:05CV000645 SLR

TO: Robert W. Glatz  
Myers Bigel Sibley & Sajovec PA.  
4140 Parklake Avenue, Suite 600  
Raleigh, NC 27612

☐ YOU ARE COMMANDED to appear in the United States District court at the place, date, and time specified below to testify in the above case.

PLACE OF TESTIMONY	COURTROOM
	DATE AND TIME

☒ YOU ARE COMMANDED to appear at the place, date, and time specified below to testify at the taking of a deposition in the above case.

PLACE OF DEPOSITION	DATE AND TIME
Marriott Hotel, 4700 Guardian Drive Durham, North Carolina 27703	11/10/2006 9:00 am

☒ YOU ARE COMMANDED to produce and permit inspection and copying of the following documents or objects at the place, date, and time specified below (list documents or objects):

See Schedule A.

PLACE	DATE AND TIME
Marriott Hotel, 4700 Guardian Drive Durham, North Carolina 27703	11/10/2006 9:00 am

☐ YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below.

PREMISES	DATE AND TIME

Any organization not a party to this suit that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify. Federal Rules of Civil Procedure, 30(b)(6).

ISSUING OFFICER'S SIGNATURE AND TITLE (INDICATE IF ATTORNEY FOR PLAINTIFF OR DEFENDANT)	DATE
<i>Anne Shea Gaza, attorney for plaintiff</i>	11/2/2006
ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER	
Anne Shea Gaza, Richards, Layton & Finger, One Rodney Square, 920 N. King St., P.O. Box 551, Wilmington, DE 19899 (302) 651-7539	

(See Rule 45, Federal Rules of Civil Procedure. Parts C & D on next page)

<sup>1</sup> If action is pending in district other than district of issuance, state district under case number

AO88 (Rev. 1/94) Subpoena in a Civil Case

## PROOF OF SERVICE

DATE

PLACE

SERVED

SERVED ON (PRINT NAME)

MANNER OF SERVICE

SERVED BY (PRINT NAME)

TITLE

## DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on

DATE

SIGNATURE OF SERVER

ADDRESS OF SERVER

## Rule 45, Federal Rules of Civil Procedure, Parts C &amp; D:

## (c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS

(1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction which may include, but is not limited to, lost earnings and reasonable attorney's fee

(2) (A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial

(B) Subject to paragraph (d) (2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded

(3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

(i) fails to allow reasonable time for compliance,

(ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except that, subject to the provisions of clause (c) (3) (B) (iii) of this rule, such a person may in order to attend

trial be commanded to travel from any such place within the state in which the trial is held, or

(iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or

(iv) subjects a person to undue burden

(B) If a subpoena

(i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or

(ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or

(iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena, or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions

## (d) DUTIES IN RESPONDING TO SUBPOENA

(1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand

(2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim

### **SCHEDULE A**

Pursuant to Rule 45 of the Federal Rules of Civil Procedure, you are required to produce the documents described below, in accordance with the following definitions, at the time and place specified on the first page of the subpoena:

### **DEFINITIONS**

The following definitions apply to each of the requests:

1. “Novo Nordisk” shall mean Novo Nordisk A/S.
2. “Competency Opinion” shall mean the written opinion with regard to the ‘408 patent issued to Aventis by Myers, Bigel, Sibley & Sajovec, P.A. on February 17, 2006.
3. “Opinion” shall mean the written opinion with regard to the ‘408 patent issued to Aventis by McDonnell, Boehnen, Hulbert & Berghoff LLP on September 13, 2005.
4. “Supplemental Opinion” shall mean the written opinion with regard to the ‘408 patent issued to Aventis by McDonnell, Boehnen, Hulbert & Berghoff LLP on September 28, 2005.
5. “Aventis” shall mean collectively, Sanofi-Aventis, Aventis Pharmaceuticals Inc., and Aventis Pharma Deutschland GMBH and their predecessors, corporate parents, subsidiaries, and affiliates; as well as their present and former directors, officers, employees, agents, attorneys, accountants, and any other person(s) who currently or formerly acted or purported to act on its behalf.

6. “MBHB” shall mean collectively, all attorneys at McDonnell, Boehnen, Hulbert & Berghoff LLP responsible for communicating with Aventis as to the opinion at issue, all attorneys responsible for researching the underlying basis for the opinion, and all employees or people working under the direction of any attorney at McDonnell, Boehnen, Hulbert & Berghoff LLP working toward the issuance of the Opinion.

7. “MBSS” shall mean collectively, all attorneys at Myers, Bigel, Sibley & Sajovec, P.A. responsible for communicating with Aventis as to the opinion at issue, all attorneys responsible for researching the underlying basis for the opinion, and all employees or people working under the direction of any attorney at Myers, Bigel, Sibley & Sajovec, P.A working toward the issuance of the Competency Opinion.

8. The “’408 patent” shall mean U.S. Patent No. 6,582,408, entitled “Medical Device,” issued June 24, 2004.

9. “Communication” shall mean the transmittal of information or requests for information in any form.

10. “Documents” shall mean all materials, as defined in Rule 34(a) of the Federal Rules of Civil Procedure, including, without limitation, any handwritten, printed, typed, recorded photographic, and computer-generated materials of any kind or nature, however produced or reproduced, as well as material stored electronically, electromagnetically, mechanically, optically, and electric recordings or transcripts thereof, and includes drafts, revisions of drafts, preliminary and preparatory materials, originals, copies, emails, attachments, exhibits, removable notes, and all translations and summaries thereof.

11. The term “including” shall mean including without limitation.

12. The terms “all,” “each,” and “any” shall be construed as all and any.

13. The conjunctives “and” and “or” shall be construed either disjunctively or conjunctively, as necessary, to bring within the scope of the discovery request all responses that might otherwise be construed to be outside of its scope.

14. The terms “concerning” and “relating to” shall mean, in whole or in part, referring to, describing, evidencing, constituting, containing, comprising, embodying, connected to, reflecting, analyzing, showing, discussing, identifying, illustrating, stating, regarding, supporting, refuting, rebutting, responding to, commenting on, evaluating, about, in respect of, mentioning, dealing with, or in any way pertaining to, either explicitly or implicitly.

15. “Person” shall mean any natural person, alive or deceased, and any business, legal, or governmental entity or association. The acts of a person shall include the acts of directors, officers, owners, members, employees, agents or attorneys acting on the person’s behalf.

16. The term “identify” with respect to persons shall mean to give, to the extent known, the person’s full name, present or last known address, and when referring to a natural person, additionally, the present or last known place of employment.

17. The term “identify” with respect to documents shall mean to give, to the extent known, the (i) type of document; (ii) general subject matter; (iii) date of the document; and (iv) author(s), addressee(s), and recipient(s).

18. “Prior Art” shall mean any activities or information Aventis asserts render any of the claims in the ’408 patent invalid under any paragraph of 35 U.S.C. §§ 102 or 103.

19. Use of the past tense includes the present tense unless otherwise explicitly delimited.

20. Use of the singular form of any word includes the plural and vice versa.



21. "You" shall mean Robert Glatz.

**DOCUMENTS AND THINGS REQUESTED**

**REQUEST NO. 1**

All drafts and versions of the Competency Opinion.

**REQUEST NO. 2**

All documents and things that You collected, considered, reviewed, prepared, analyzed or relied in forming the Competency Opinion, including all notes and search reports.

**REQUEST NO. 3**

All documents and things which were collected or prepared by You in the course of evaluating the Opinion including all notes and search reports whether or not such documents were provided to, or the content of such documents were communicated to Aventis, and whether or not the content of such documents is disclosed in the Competency Opinion.

**REQUEST NO. 4**

All communications between You and Aventis or MBHB concerning, referring to, or related to the '408 patent, U.S. Patent Nos. 6,034,336, 5,370,629, 5,314,412, 5,823,998, 4,936,833, 5,549,575, 5,554,125, 5,137,511, 6,004,297, WO99/16487, or the OptiPen Starlet.

**REQUEST NO. 5**

All documents and things concerning, referring to, or related to the initiation of the relationship between Aventis and You, including all communications between Aventis and You, and all documents regarding the decision to have MBSS issue the Competency Opinion.

**REQUEST NO. 6**

All documents and things concerning or related to the subject matter of the Competency Opinion.

**REQUEST NO. 7**

All documents and things relating to communications between Aventis and MBSS, including all communications between, to or from You, concerning, referring to, or relating to the Competency Opinion or the '408 patent.

**REQUEST NO. 8**

All documents, including all emails, notes or minutes, relating to, referring to or concerning any meetings between Aventis and You, including emails, notes or minutes of any meetings between any one at Aventis or anyone acting on behalf of Aventis, including MBHB, and You regarding the Competency Opinion or the '408 patent.

**REQUEST NO. 9**

All documents and things reflecting any communication or discussion concerning the Competency Opinion or the '408 patent by or between You and any of the following: Daniel Gruber, Stefan Schwartz, Charlotte Barney, Carolyn Moon, Thomas Loescher, Marion Bachman, Markus Jacobi, Alistair Clarke, Nils Basso, Claus Geiger, Michael Fischer, Mathias Mueller,

Carsten Larsen or any other person employed by or acting as an agent of Aventis, including MBHB.

**REQUEST NO. 10**

All documents and things reflecting any communication or discussion concerning the Opinion, the Supplemental Opinion, the Competency Opinion, or the '408 patent by or between You and any of the attorneys at MBSS.

**REQUEST NO. 11**

All documents and things concerning, relating to or reflecting any communication, discussion or meeting regarding any draft of the Competency Opinion.

**REQUEST NO. 12**

All documents and things that demonstrate the extent to which the Competency Opinion was circulated or the extent to which any conclusions contained in the Competency Opinion were communicated to persons at Aventis Pharmaceuticals Inc.

UNITED STATES DISTRICT COURT  
DISTRICT OF DELAWARE

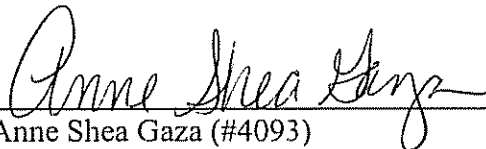
CERTIFICATE OF SERVICE

I hereby certify that on November 2, 2006, I caused to be served by hand delivery the foregoing document and electronically filed the same with the Clerk of Court using CM/ECF which will send notification of such filing(s) to the following:

Steven J. Balick, Esquire  
John G. Day, Esquire  
Lauren E. Maguire, Esquire  
222 Delaware Avenue, 17<sup>th</sup> Floor  
P.O. Box 1150  
Wilmington, DE 19899  
302-654-1888

I hereby certify that on November 2, 2006, I have sent by Federal Express the foregoing document to the following non-registered participants:

Paul Berghoff, Esquire  
McDonnell Boehnen Hulbert  
& Berghoff LLP  
300 South Wacker Drive  
Chicago, Illinois 60606-6709

  
Anne Shea Gaza (#4093)  
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